



Canadian Lawyers Liability Assurance Society

2019/2020 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Torys LLP

2. Address of principal office: Suite 3000, 79 Wellington Street West, TD Centre
Toronto, ON M5K 1N2

Phone: (416) 865-0040 Fax: (416) 865-7380

3. Address, phone and fax numbers of other office(s):
Please see attached

4. Management or service companies, date(s) established and services provided:
Toryco Services, a limited partnership established in 1976; Provides secretarial,
administrative and support services for the firm.

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

Not applicable

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	<u>54.4</u>	%	<u>68.3</u>	%
b) Criminal Law	<u>0</u>	%	<u>0</u>	%
c) Family Law	<u>0</u>	%	<u>0</u>	%
d) Intellectual Property	<u>3.3</u>	%	<u>4.3</u>	%
e) Labour Law	<u>1.6</u>	%	<u>0.1</u>	%
f) Litigation	<u>15.5</u>	%	<u>15.5</u>	%
g) Real Estate	<u>5.4</u>	%	<u>3.2</u>	%
h) Securities Law	<u>8.6</u>	%	<u>2.2</u>	%
i) Tax Matters	<u>3.1</u>	%	<u>2.9</u>	%
j) Wills, Estates, Trust	<u>1.8</u>	%	<u>0.1</u>	%
k) Other (please specify)	<u>6.3</u>	%	<u>3.3</u>	%
<u>Regulatory</u>				

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Julia Holland

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

April 01, 2019

CLLAS 2019-2020 Renewal Application

Question 3: Torys LLP – Other offices:

1114 Ave. of the Americas
23rd Floor
New York, NY, USA 10036.7703
(P) 212-880-6000

525-8th Avenue S.Wl,
46th Floor
Calgary, Alberta
T2P 1G1
(P) 403-776-3700

1 Place Ville-Marie
Suite 2880
Montreal, Quebec
H3B 4R4
(P) 514-868-5600

1871 Hollis Street
Suite 200
Halifax, Nova Scotia
B3J 0C3
(P) 902-720-3500

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: Torys LLP

Merger Date

- Tory Tory DesLauriers & Binnington
- Haythe & Curley
- Tory Haythe
- Tory Tory
- Torys

October 1, 1999

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019

Name of Firm: Torys LLP

	CANADA					OUTSIDE OF CANADA ^{/5}	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.S.	Other Locations
a) No. of Lawyers ^{/1}	0	14	211	6	12 (Nova Scotia)	41	
b) No. of Patent & Trademark Agents ^{/2}	0	0	1	0	0	0	
c) No. of Non-lawyer Consultants ^{/3}	0	0	0	0	0	0	
d) No. of Paralegals	0	2	34	0	3	2	
e) No. of Other Employees	0	24	368	7	2	22	
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	0	16	35	0	0	0	

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Location	Partners	Full Name
Calgary	Bedford (PC)	Andrew P. Bedford Professional Corporation
	Christopher (PC)	C.A. Christopher Professional Corporation
	Cochlan (PC)	Scott R. Cochlan Professional Corporation
	Cusano (PC)	Luigi A. Cusano Professional Corporation
	Cuschieri (PC)	David V. Cuschieri Professional Corporation
	Danner (PC)	Peter Danner Professional Corporation
	Flaman (PC)	Derek S. Flaman Professional Corporation
	Fougere (PC)	Kevin A. Fougere Professional Corporation
	Jugnauth (PC)	Neville Jugnauth Professional Corporation
	Kashuba (PC)	Kyle D. Kashuba Professional Corporation
	Maurice (PC)	Craig Maurice Professional Corporation
	Paskaran (PC)	Janarthanan Paskaran Professional Corporation
	Pedlow (PC)	Michael Pedlow Professional Corporation
	Stimpson (PC)	Stephanie C. Stimpson Professional Corporation
	Tysowski (PC)	Lianne J Tysowski Professional Corporation
	Wood (PC)	David Wood Professional Corporation
Montreal	McNamara (PC)	William McNamara Professional Corporation
	Richter (PC)	Societe Professionnelle Christopher Richter Inc.
	Rodrigue (PC)	Sylvie Rodrigue Professional Corporation
Toronto	Akkawi (PC)	Michael Akkawi Professional Corporation
	Assaf (PC)	Dany H. Assaf Professional Corporation
	Bailey (PC)	T. Bailey Professional Corporation
	Bain (PC)	Mark Bain Law Professional Corporation
	Balasubramanian (PC)	Amanda Balasubramanian Professional Corporation
	Berman (PC)	Guy Berman Professional Corporation
	Bernstein (PC)	Andrew Bernstein Professional Corporation
	Cockburn (PC)	Matthew Cockburn Professional Corporation
	Delean (PC)	Adam Delean Professional Corporation
	Dell (PC)	David Dell Professional Corporation
	Emanoilidis (PC)	John Emanoilidis Professional Corporation
	Feldman (PC)	Michael Kevin Feldman Professional Corporation
	Ford (PC)	Daniel A. G. Ford Professional Corporation
	Fortier (PC)	Michael J. Fortier Professional Corporation
	Frazer (PC)	Mitch Frazer Professional Corporation
	Gherbaz (PC)	Sabrina Alison Gherbaz Professional Corporation
	Helbronner (PC)	Valerie Helbronner Professional Corporation
	Hill (PC)	Plumpton Hill Professional Corporation
	Keefe (PC)	Blair Keefe Professional Corporation
	Keizer (PC)	Charles Keizer Professional Corporation
	Koziskie (PC)	Cameron Koziskie Professional Corporation
	Lake (PC)	K. T. Lake Professional Corporation
	McCutcheon (PC)	Jill McCutcheon Professional Corporation
	Morris (PC)	Kevin Morris Professional Corporation
	Plumpton (PC)	Plumpton Hill Professional Corporation
	Roger (PC)	Donald B. Roger Professional Corporation
	Seville (PC)	David A. Seville Professional Corporation
	Sternberg (PC)	Arlen Sternberg Professional Corporation
	Symmonds (PC)	Philip Symmonds Professional Corporation
	Terry (PC)	John Terry Professional Corporation
	Viner (PC)	Les Viner Professional Corporation
	Wakil (PC)	Omar Wakil Professional Corporation
	Weisz (PC)	Jonathan Weisz Professional Corporation
	Wortsman (PC)	Jerald Wortsman Professional Corporation
	Wright (PC)	Cornell Wright Professional Corporation

Active Members of Toronto Office of Applicant
March 1, 2019

Name of Applicant: Torys LLP

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Frank Iacobucci	1970	2005	Senior Counsel
Benjamin Geva	1970	2011	Counsel
Sheila R. Block	1974	1974	1980
J. Robert S. Prichard	1978	2010	Chairman
Patricia D.S. Jackson	1979	1979	1985
Richard J. Balfour	1980	1981	Senior Counsel
Elizabeth Ellis	(England & Wales 2000) 1980	2007	N/A
Corrado Cardarelli	1983	1983	1989
James C. Tory	1983	1983	1989
Cheryl Reicin	1985 (Massachusetts 1989)	2004	2004
Eileen M. McMahon	1987	2002	2002
Richard G. Willoughby	1987 (NY 2002)	1987	1993
Kelly J. Morris	1988	2017	Senior Counsel
Tony DeMarinis	1989	1989	1995
Brigitte Goulard	1989	2019	Senior Counsel
John Tobin	1989	2002	2002
Julia Holland	1992	1992-2002/2006	N/A
Peter A. Aziz	1993	1993	Senior Counsel
Andrew M. Shaughnessy	1993	2001	2003
David Steele	1993	1993	1999
Anita Anand	1994	2019	Scholar-in-Residence
John Fabello	1994	2005	2005
Glen R. Johnson	1994	2000	2002
Bernard Kwasniewski	1994 (NY 1997; Cali. 2005)	2008	Counsel
Scott A. Bomhof	1995	1995-97/2001	2001
Dennis E. Mahony	1995	1995	2001
Michelle Nelles	1995	2011	Counsel
Darryl Hiscocks	1996	2014	Counsel
Simon C. Knowling	1996	1996	2002

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Karrin Powys-Lybbe	1996	1993	2014
Michael Amm	1998 (NY 2001)	1998	2005
Adam S. Armstrong	1998	1998	2005
Scott Bell	1998	2010	Counsel
Scott Kraag	1998 (B.C. 1998; England & Wales 2002)	2002	2007
Leslie McCallum	1998 (NY 1998)	2004	Counsel
Susan Nickerson	1998 (NS 2018)	2013	2013
Christine Vogelesang	1998	2006	Counsel
David Bish	1999	2010	2010
Michael T. Pickersgill	1999	1999	2007
Melanie Rowand	1999	2015	N/A
Gwendolyn (Gwen) Watson	1999	2017	2019
Aaron Emes	2000	2000	N/A
David Outerbridge	2000	2001	2014
Grant Worden	2000	2004	2019
Jim S. Hong	2001	2002	2008
Graham Rawlinson	2001	2001	2008
Andrew Silverman	2001	2013	Counsel
Lisa Talbot	2001	2001	2008
Thomas Yeo	2001	2001	Counsel
Tom Zverina	2001	2001	2008
Edward Fan	2002	2006	2010
Andrew D. Gray	2002	2002	2010
Richard W. Johnson	2002	2002	2017
Rima Ramchandani	2002	2002	2010
Andrew Wong	2002	2006	2011
Nadine Rockman Katz	2003 (NY 2004)	2003	N/A
Tyson Dyck	2004	2005	2015
Patricia McMahon	2004	2016	N/A
Jonathan Myers	2004	2009	Counsel
Kevin Tuohy	2004	2013	Counsel
Milosz Zemanek	2004 (NY 2005)	2010	2016
Jon Cescon	2005 (NY 2006)	2005	N/A
Gillian B. Dingle	2005	2005-11/2015	2018
Abraham Drassinower	2005	2005	Counsel
Jennifer Lennon	2005	2005	Counsel
Martha MacDonald	2005	2015	2015

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Leonard Nesbitt	2005	2013	Counsel
Ebad Rahman	2005	2008	Counsel
Simon Williams	2005 (NY 2008)	2011	Counsel
Amarpreet Bhasin	2006 (NY 2009)	2011	2016
Saira Bhojani	2006	2006	Counsel
Michael Zackheim	2006	2006	2015
Yael Bienenstock	2007	2007	Counsel
Joel Ramsey	2007 (NY 2004)	2014	2014
Teresa A. Reguly	2007	2007	2016
Adam M. Slavens	2007	2007	2017
Karen Townsend	2007	2007	Counsel
Matthew Atkey	2008	2008	Counsel
Jamie Becker	2008	2008	Counsel
Huw Evans	2008	2008	2017
Nina Mansoori	2008	2008	2017
Myriam Seers	2008	2012	N/A
Adam Banack	2009	2009	Counsel
Adrienne DiPaolo	2009	2009	2019
Morgan Dunnigan	2009	2009	N/A
Andrew Gibbons	2009 (England & Wales 2005)	2011	Counsel
Adrienne Glen (Love)	2009	2012	N/A
Raegan Kennedy	2008	2018	N/A
Joshua Lavine	2009 (NY 2012)	2009-10/2012	2019
Alexandra Peterson	2009	2014	N/A
Molly Reynolds	2009	2009	Counsel
Danielle Traub	2009	2018	N/A
Robert Leibel	2010	2010	2019
Nicole Mantini	2010	2010	Counsel
Eli Monas	2010	2010	N/A
Paulina Taneva	2010	2010	N/A
Sultana Bennett	2011 (NY 2007)	2016	N/A
Tasha De Freitas	2011	2016	N/A
Laurie Duke	2011 (NY 2005)	2010	2015
Yolande Dufresne	2011 (NY 2017)	2011	N/A
James Gotowiec	2011	2011	N/A
Christie Kneteman	2011 (NY 2016)	2011	N/A
Adeyinka Olusoga	2011	2011	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Jeremy Opolsky	2011 (2012 NY)	2014	N/A
Zehra Sheerazi	2011	2012	N/A
Steven Slavens	2011	2011	N/A
Leah Frank	2011	2011	N/A
Sarah Whitmore	2011	2011	N/A
Rebecca Wise	2011	2011	N/A
Ryan Unruch	2011	2013	N/A
Josh Van Deurzen	(NY 2007; Mass 2006) 2011 (NY 2009)	2010-11/2015	2019
Stephen Abrahamson	2012	2012	N/A
Nooreen Bhanji	2012	2012	N/A
Lily Coodin	2012 (NY 2015)	2012	N/A
Zirjan Derwa	2012	2017	N/A
David Forrester	2012	2012	N/A
Nicole Hastings	2012	2012-15/2017	N/A
David Leith	2012	2012	N/A
Melissa Prado	2012	2012	N/A
Patrick Reynaud	2012 (Quebec 2012)	2016	N/A
Thomas Stevenson	2012 (Alberta 2016)	2012	N/A
Marko Trivun	2012	2012	N/A
Shannon Gotfrit	2013 (NY 2008)	2011	2016
Jessica Bishop	2013	2015	N/A
Sarah Carter	2013	2013	N/A
Sophie Courtois	2013	2013	N/A
Jordan Fenton	2013	2013	N/A
Frazer House	2013	2013	N/A
Lauren Hulme	2013	2017	N/A
Steve Hundal	2013	2015	N/A
Braden Jebson	2013	2013	N/A
Carly Klinkhoff	2015 (2013 NY)	2018	N/A
Ryan Lax	2013	2013	N/A
Richard Missaghie	2013	2013	N/A
Rachael Saab	2013	2013	N/A
Ronak Shah	2013	2018	N/A
Bradley Tartick	2013	2013	N/A
Ryan Walker	2013	2018	N/A
Jacob Weinstock	2013	2013	N/A
Albert Chan	2014	2014	N/A
Marissa Daniels	2014	2014	N/A
Allison Hennick	2014	2014	N/A
Michael Jack	Pending (2014 New Zealand)	2018	N/A
Irfan Kara	2014	2014	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Nick Kennedy	2014	2014	N/A
Daniel Masliyah	2014	2018	N/A
Mark Morrison	Pending (NY 2014)	2018	N/A
Laura Sigurdson	2014	2014	N/A
Nicole Zeit	2014	2019	N/A
Kevin Armitage	2015	2015	N/A
Crystal Chung	2015	2015	N/A
Na Fan	2015	2018	N/A
Lara Guest	2015	2015	N/A
Michael Jason	2015	2015	N/A
Leora Jackson	2015	2016	N/A
Geoff Kelsall	2015	2015	N/A
Stephen Neil	2015	2015	N/A
Dustin Paterson	2015	2019	Practice Support Lawyer
Owen Payne	2015	2015	N/A
Jacob Posen	2015	2015	N/A
Aleksandra Ramsvik	2015	2015	N/A
Henry Ren	2015	2015	N/A
Brett Saulnier	2015	2015	N/A
Alexandra Shelley	2015	2015	N/A
Emily Sherkey	2015	2015	N/A
Rose Chen	Pending (2016 BC)	2019	N/A
Justin Crawford	2016	2016	N/A
Joshua Daniels	2016	2016	N/A
Emily Debono	2016	2016	N/A
Valérie Duchesneau	2016 (NY 2015)	2017	N/A
Riley Harmon	2016	2016	N/A
Selamawit Ibrahim	2016	2016	N/A
Ian Li	2016	2018	N/A
Michael Murphy	2016	2016	N/A
Allison Perlman	2015	2017	N/A
Emma Sako	2016	2016	N/A
Davida Shiff	2016	2016	N/A
Jonathan S. Silver	2016	2017	N/A
Manpreet Singh	2016	2016	N/A
Isabella Ssozi	2016	2016	N/A
Tosh Weyman	2016	2016	N/A
Patrick Chapman	2017	2017	N/A
Shalom Cumbo-Steinmetz	2017	2017	N/A
Stacey Danis	2017	2017	N/A
Mitchell T. Dost	2017	2017	N/A
Jessica E. Earle	2017	2017	N/A
Hongyi Geng	2017	2017	N/A
Si Yang He	2017	2017	N/A

Lawyer	Admitted to Ontario Bar	Year joined Applicant	Year became Partner
Vidushi Hora	2017	2017	N/A
Michael J. Meguid	2017	2017	N/A
Taylor R. Overing	2017	2017	N/A
Tim Pavlov	2017	2017	N/A
Michael Steele	2017	2017	N/A
Joshua D. Teichman	2017	2017	N/A
Nicholas Wall	2017	2017	N/A
Justin Brook Wong	2017	2017	N/A
Yu Seon Gadsden-Chung	2017	2017	N/A
Brody Appotive	2018	2018	N/A
Melody Burke	2018	2018	N/A
Stefan Case	2018	2018	N/A
Michele Clarizio	2018	2018	N/A
Pat Denroche	2018	2018	N/A
Salima Fakirani	2018	2018	N/A
Sue Fei	2018	2018	N/A
Winston Gee	2018	2018	N/A
Cal Hunter	2018	2018	N/A
Adam Ibrahim	2018	2018	N/A
Jesse Leonard	2018	2018	N/A
Yehuda Levi	2018	2018	N/A
Josh Morry	2018	2018	N/A
	(2018 MB)		
Keton Motta Freeman	2018	2018	N/A
Diana Pegoraro	2018	2018	N/A
Alicja Puchta	2018	2018	N/A
Marshall Rossiter	2018	2018	N/A
Shane Thomas	2018	2018	N/A
Hailey Tweyman	2018	2018	N/A

**Active Members of Calgary Office of Applicant
March 1, 2019**

Lawyer	Admitted to the Alberta Bar	Year joined Applicant	Year became Partner
David Kolesar	2004 (Ontario 2002)	2015	Counsel
Evan Dickinson	2010	2013	N/A
Carla Hunt	2010	2016	N/A
Matthew Lui	2010	2015	N/A
Renée Matthews	2010	2011	N/A
Ian Gordon	2011	2016	N/A
Amy Maginley	2012	2013	N/A
Tyrel Henderson	2014	2014	N/A
Gino Bruni	2015	2015	N/A
Nicole Saint-Onge	2017 (British Columbia 2015)	2017	N/A
Jaspreet Mann	2016	2017	N/A
Brandon Schur	2016	2015	N/A
Carleigh R. Knoll	2017	2016	N/A
Jonathan McDonald	2017	2018	N/A

**Active Members of New York Office of Applicant
March 1, 2019**

Lawyer	Admitted to the New York Bar	Year joined Applicant	Year became Partner
Andy Beck	1973 (1992 Pennsylvania) (1972 Virginia)	2000	2000
David Chaikof	NA (1987 Ontario)	1988	1988
Don Bell	1994 (1994 BC, 1994 NY)	2014	2014
Jared Fontaine	2008 (2001 Pennsylvania) (2001 New Jersey)	2007	2012
Amy Johnson-Spina	2001 (2001 New Jersey)	2001	2009
Joseph (Jay) Romagnoli	1987 (1989 District of Columbia)	2000	2000
Stefan Stauder	2000 (1997 Munich, Germany)	2010	2010
David Wawro	1978	2000	2000
Miroslav Fajt	1981	2000	2000
William Gray	1981	2001	2001
Peter Keenan	1991	2000	2000
Alison Bauer	1996	2001	2005
Darien Leung	1996	2001	2006
Scott Semer	1998	2015	2015
Christopher Caparelli	2000	2005	Counsel
Mile Kurta	2002	2012	2002
Venera Ziegler	2003	2018	2018
Tara Mackay	2016 (2002 Ontario)	2009	2011
Jonathan Wiener	2002	2007	2007
Michael Horwitz	2004	2013	2019
Glen (David) Mattingly	2007	2005	2014
Judah (Ari) Feder	2008	2010	N/A
Jaclyn Leader	2008	2010	N/A
Heding Yang	2008	2007	N/A
Batya Nadler	2009	2016	N/A
Christopher Bornhorst	2010	2016	N/A
Zahava Blumenthal	2011	2018	N/A
Christine Lin	2011	2017	N/A
Steven Bentsianov	2012	2018	N/A
Max Shakin	2012 (2011 New Jersey)	2014	N/A
Jonathan Weinblatt	2012	2013	N/A
Meghan McKeever	2013	2014	N/A
Alexander Tanenbaum	2013	2017	N/A
Michele Cousens	Pending (2014 Ontario)	2014	N/A
Giancarlo Ruscio	2018 (2014 Ontario)	2017	N/A
Rachel Goodwin	2015	2019	N/A

Lawyer	Admitted to the New York Bar	Year joined Applicant	Year became Partner
Clinton Monteith	2016	2017	N/A
Steven Rotchtin	2016	2015	N/A
Charles O'Toole	2017	2018	N/A
Nick Clarkin	2018	2016	N/A
Nico Basil	Pending	2018	N/A

**Active Members of Montreal Office of Applicant
March 1, 2019**

Lawyer	Admitted to the Québec Bar	Year joined Applicant	Year became Partner
Matthew Angelus	2014	2017	N/A
Chantale Dallaire	2013	2017	N/A
Rebecca Moskowitz Wagner	N/A (2013 Ontario)	2013	N/A
Marie-Ève Gingras	2009 (2015 Ontario)	2013	N/A
Geneviève Bertrand	2007 (2014 Ontario)	2013	Counsel
Emma Loignon-Giroux	2018 (2018 Ontario)	2018	N/A

**Active Members of Halifax Office of Applicant
March 1 2019**

Lawyer	Admitted to the Nova Scotia Bar	Year joined Applicant	Year became Partner
Christopher J. Fowles	2014 (Ontario 1996; England & Wales 2000)	1996	2005
Penny Harding	1999 (Ontario 2015, Alberta 2016)	2015	N/A
Shawn Langlois	2015 (Ontario 1999)	2014	N/A
Andrew McFarlane	2003 (Ontario 2015)	2015	N/A
Sean Farmer	2012 (Ontario 2015)	2014	N/A
Sarah Chisholm	2015 (Ontario 2012)	2017	N/A
Christopher Lirette	2013 (Ontario 2018)	2018	N/A
Teresa Crawshaw	2014 (Ontario 2018)	2017	N/A
James Kehoe	2014	2018	N/A
Sarah R. Reardon	N/A (New Brunswick 2015; Ontario 2018)	2017	N/A
Sylvie Thériault	2016 (Ontario 2019)	2018	N/A
Sundeeep Oad	2017 (Ontario 2017)	2017	N/A

APPENDIX C
ACTIVE NON-LAWYER COUNSELLANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Torlys LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
NOT APPLICABLE			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	Type of Exposure:
Insurance Carrier:	Insurance Carrier:
Policy Number:	Policy Number:
Period of Insurance:	Period of Insurance:
Retroactive Date:	Retroactive Date:
Limits:	Limits:
\$ _____ per claim, \$ _____ aggregate	\$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Torys LLP

- The Named Insured under the CLLAS policies is Torys LLP.
- Tory Tory DesLauriers & Binnington merged with the New York firm of Haythe & Curley on October 1, 1999 and initially practised under the name of Tory Haythe. The name Tory Haythe was changed to Torys on January 7, 2000. Although Torys (Toronto, Calgary and Halifax), Torys (Montreal) and Torys (New York) practise as one firm from an operational standpoint, they are three separate partnerships legally.
- Tory Tory DesLauriers & Binnington used to have an office in London, England. It was closed in May 1999.
- Haythe & Curley used to have an office in London, England. It was closed in December 1999.
- The Firm used to have an office in Beijing but it was transferred to another law firm on August 1, 2002.
- The Firm now has offices in Toronto, Calgary, Montreal, Halifax and New York.
- Tory Tory DesLauriers & Binnington formerly had an international partnership, known as Tory Ducharme Lawson Lundell, with Desjardins Ducharme Stein Monast of Montreal and Lawson Lundell Lawson & MacIntosh of Vancouver. This partnership operated an office in Hong Kong. The partnership was terminated in 1994. The Hong Kong office then became an office of Tory Tory DesLauriers & Binnington until it was closed in January 1997.
- There is an endorsement on the policy with respect to Tory Ducharme Lawson Lundell to cover possible claims relating to the period during which the international partnership was in operation.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Torys LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
See attached		

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
NYC	2 - David Chaikoff & Michele Cousens	36	3- Tara MacKay, Don Bell & Giancarlo Ruscio

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: Lawyer's Professional Liability Insurance
Insurance Carrier: Columbia Casualty/Nautilus/Indian Harbor/Axis Surplus/Underwriters at Lloyds
Policy Number: 592420538
Period of Insurance: July 01, 2018 to July 01, 2019
Retroactive Date: _____
Limits: \$ 30,000,000 per claim, \$ 30,000,000 annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Supplement to Appendix E (2019-2020)

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Name of lawyer	Location	% time docketed
Cheryl Reicin	Toronto	100%
Shannon Gotfrit	Toronto	50%
Leslie McCallum	Toronto	30%
Bernard Kwasniewski	Toronto	20-30%
Michael Amm	Toronto	20%
Laurie Duke	Toronto	20%
Ricco Bhasin	Toronto	30%
Josh Lavine	Toronto	5%
Konata Lake	Toronto	25%
Lily Coodin	Toronto	5%
Josh Van Deurzen	Toronto	25%
Yolande Dufresne	Toronto	5%
Kristine Di Bacco	Toronto	20%
Ryan Unruch	Toronto	20%
Mark Morrison	Toronto	100%

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2018

Name of Firm: Torys LLP

As at:

December 31, 2018

1 of 8

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abb	Law Society				CLIC				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	
CLAS1992-041	HEATHER G. HIRSEY	SUSAN STANNIN	19-Nov-1991	01-Jun-1989	01-Aug-1992	NCP	\$ -	\$ 1,536	\$ -	\$ -	\$ 1,536	\$ -	\$ -	\$ -	\$ -
CLAS1992-057	T.B.A. T.B.A.	TRITEN CORPORATION	01-Dec-1991	01-Sep-1990	01-Jun-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-109	HEATHER HIRSEY	KATHRYN R. AIREY/SHEILA M. AIREY	24-Mar-1992	02-Feb-1992	01-Apr-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-156	MICHAEL FEEDMAN	DRESDNER BANK OF CANADA	19-Jun-1992	01-Oct-1989	01-Dec-1992	NCP	\$ -	\$ 6,210	\$ -	\$ -	\$ 6,210	\$ -	\$ -	\$ -	\$ -
CLAS1992-157	James C. Bailie	ESTATE OF MAX TANNENBAUM	30-Jun-1992	30-Jun-1992	30-Jun-1994	NCP	\$ -	\$ 3,856	\$ -	\$ -	\$ 3,856	\$ -	\$ -	\$ -	\$ -
CLAS1992-158	PATRICA JACKSON	CSABA REIDER	30-Jun-1992	05-Mar-1992	04-Feb-2002		\$ 50,000	\$ 167,402	\$ -	\$ -	\$ 217,402	\$ -	\$ -	\$ -	\$ -
CLAS1992-159	Sandra Geddes	WELLINGTON INSURANCE CO.	25-Jun-1992	01-May-1991	01-Dec-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-160	WILLIAM G. BEATTIE	WILLIAM AND MARGARET ANNETT	30-Jun-1992	31-Jan-1992	03-Apr-1995		\$ -	\$ 3,214	\$ -	\$ -	\$ 4,942	\$ -	\$ -	\$ -	\$ -
CLAS1993-019	THOMAS A. BOGART	PRUDENTIAL ASSURANCE CO.	31-Oct-1992	31-Jul-1991	13-Feb-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 2,198	\$ -	\$ -	\$ -	\$ -
CLAS1993-023	JOHN A. TORV	Sherry Brydson - Estate Freeze	30-Nov-1992	30-Jun-1971	19-Feb-1999	NCP	\$ -	\$ 2,033	\$ -	\$ -	\$ 3,866	\$ -	\$ -	\$ -	\$ -
CLAS1993-054	DOUGLAS C. BETTS	Dennis Hawley	01-Feb-1993	01-Mar-1990	03-Mar-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,420	\$ -	\$ -	\$ -	\$ -
CLAS1993-080	RICHARD R. NEVILLE	JOAN O'REILLY	30-Apr-1993	31-Jan-1980	30-Sep-1996	NCP	\$ -	\$ 8,789	\$ -	\$ -	\$ 10,954	\$ -	\$ -	\$ -	\$ -
CLAS1993-089	LAURENCE A. PATTILLO	Toronto Dominion Bank	07-Apr-1993	05-Apr-1993	30-Jun-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1993-092	ARTHUR A. KENNEDY	SEARS CANADA INC.	30-Apr-1993	22-Jul-1991	06-Apr-1995	NCP	\$ -	\$ 5,990	\$ -	\$ -	\$ 5,990	\$ -	\$ -	\$ -	\$ -
CLAS1993-097	JOHN A. TORV	Irma Brydson Estate of 1966	30-Nov-1992	31-Mar-1966	19-Feb-1999	NCP	\$ -	\$ 2,033	\$ -	\$ -	\$ 3,525	\$ -	\$ -	\$ -	\$ -
CLAS1993-098	JOHN A. TORV	Irma Brydson Estate (1966 Share Rte.)	30-Nov-1992	31-Mar-1966	19-Feb-1999	NCP	\$ -	\$ 2,033	\$ -	\$ -	\$ 4,039	\$ -	\$ -	\$ -	\$ -
CLAS1993-099	DAVID S. EHRILCH	Dellie Financial Corp. Inc./Guildford Place	30-Apr-1993	31-Dec-1988	07-Sep-1994	NCP	\$ -	\$ 24,886	\$ -	\$ -	\$ 24,886	\$ -	\$ -	\$ -	\$ -
CLAS1993-137	PATRICA D. JACKSON	Sidney Jaffe	30-Jun-1993	01-Jan-1990	23-Sep-1994	NCP	\$ -	\$ 10,693	\$ -	\$ -	\$ 10,693	\$ -	\$ -	\$ -	\$ -
CLAS1993-138	DOROTHY DEMERCHANT	Hollinger Inc.	30-Jun-1993	31-Jan-1991	30-Jun-1995	NCP	\$ -	\$ 7,171	\$ -	\$ -	\$ 8,793	\$ -	\$ -	\$ -	\$ -
CLAS1993-139	DESMOND J. MACKAY	Sun Life Assurance Co. of Canada et al	30-Jun-1993	01-Oct-1988	01-Dec-1993	NCP	\$ -	\$ 1,664	\$ -	\$ -	\$ 1,664	\$ -	\$ -	\$ -	\$ -
CLAS1993-140	TIMOTHY G. LEISHMAN	Canada Post	30-Jun-1993	01-Jun-1992	07-Sep-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,394	\$ -	\$ -	\$ -	\$ -
CLAS1994-003	JENNIFER E. AITKEN	Carol and Charles Pentland	30-Jul-1993	01-Feb-1993	30-Nov-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-027	MICHAEL ROTSZJAIN	Ernst & Young Inc./Sunburst Orchard	05-Oct-1993	01-Jun-1991	14-Mar-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 2,076	\$ -	\$ -	\$ -	\$ -
CLAS1994-037	LINDA A. TELGARSKY	Dinerec Developments Inc.	22-Oct-1993	01-Nov-1992	24-Mar-1994	NCP	\$ -	\$ 2,380	\$ -	\$ -	\$ 2,380	\$ -	\$ -	\$ -	\$ -
CLAS1994-043	H. Ierne Morphy	Government of Ontario	04-Nov-1993	10-Oct-1992	22-Jun-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 3,092	\$ -	\$ -	\$ -	\$ -
CLAS1994-052	RICHARD R. NEVILLE	Bank of Nova Scotia	30-Nov-1993	01-Jan-1992	21-Mar-1995	NCP	\$ -	\$ 4,223	\$ -	\$ -	\$ 4,223	\$ -	\$ -	\$ -	\$ -
CLAS1994-062	RICHARD A. CONWAY	MILLMAN LARRY	30-Dec-1993	01-Mar-1992	31-Dec-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,054	\$ -	\$ -	\$ -	\$ -
CLAS1994-085	DESMOND J. MACKAY	SUN LIFE ASSURANCE COMPANY OF CANADA	03-Mar-1994	01-Jun-1978	19-Apr-1995	NCP	\$ 68,880	\$ 5,833	\$ -	\$ -	\$ 74,713	\$ -	\$ -	\$ -	\$ -
CLAS1994-149	Dawn Scott	Altemira Investment	27-Jun-1994	01-Nov-1991	30-Jun-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1994-150	John Laskin	Falken Campbell Baker	29-Jun-1994	01-Mar-1990	05-Jun-1997	NCP	\$ -	\$ 1,629	\$ -	\$ -	\$ 3,016	\$ -	\$ -	\$ -	\$ -
CLAS1995-020	Arthur Kennedy	Brian Carvell	12-Oct-1994	01-Nov-1980	24-Feb-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1995-037	Claire E. Burns	Hoogovens Technical Services Canada Inc.	27-Oct-1994	01-Jan-1994	28-Feb-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,025	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS									
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability						
CLAS1998-041	Mary Mullens	RealFund	19-Nov-1997	12-May-1993	29-Feb-2000	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1998-061	Brian Shields	Estate of Joseph S. Atkinson	20-Jan-1998	01-Jan-1974	25-Jun-1999	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1998-120	Jane Bailey	Schneider Employees Association	24-Jun-1998	06-Mar-1998	16-Sep-1998	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1998-121	Jane Heimsradter	Manulife	24-Jun-1998	01-Oct-1997	16-Sep-1998	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1998-122	John Unger	Vridian Inc.	25-Jun-1998	01-Mar-1993	12-Jul-2000	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1998-128	Phillip Mohrati	MICC Investments Ltd.	30-Jun-1998	14-May-1998	02-Sep-1998	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1999-103	Herman WiltonSiegel	Nesbitt, Burns/Bolden	18-Jun-1999	01-Oct-1998	29-Apr-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1999-104	David Foulds	Helmut Sieber	18-Jun-1999	01-Nov-1998	14-Dec-1999	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1999-132	Paul Moore	Royal Bank of Canada	30-Jun-1999	09-Jul-1996	29-Apr-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1999-133	Dawn Scott	Altamira Investment Services	30-Jun-1999	08-Dec-1997	29-Feb-2000	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS1999-134	Patricia Jackson	Murray Harman	30-Jun-1999	01-Jan-1999	27-Oct-1999	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2000-046	Christina Litz	Department of Justice	04-Nov-1999	06-Aug-1999	11-Nov-1999	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2000-047	Dianne Clark	GL&V Process Equipment Group Inc.	27-Oct-1999	07-Apr-1999	14-Sep-2000	NCP	\$	-	\$	2,711	\$	-	\$	2,711	\$	-	\$	-	\$	-	\$	-
CLAS2000-048	Elizabeth Evans	Grosvenor Place Limited Partnership	29-Nov-1999	15-Oct-1999	21-Nov-2000	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2000-094	Sharon Howard-Laird	Nicholas Pirruccio	09-Mar-2000	13-Dec-1999	27-Oct-2000	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2001-021	Lisa Boulton	Bradford Highlands Golf Club et al	04-Oct-2000	07-May-1999	27-May-2003		\$	-	\$	9,740	\$	-	\$	9,740	\$	-	\$	-	\$	-	\$	-
CLAS2001-025	David Chernos	Stephen Vassilev	13-Oct-2000	31-Jul-2000	16-Feb-2001	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2001-077	Michael Thorley	Reichhold Limited	13-Feb-2001	28-Feb-1989	30-Jul-2001	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2001-149	David Baird	William Aziz	28-Jun-2001	01-Mar-1996	27-Sep-2001	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2002-010	Mary Mullens	Genra Inc.	01-Aug-2001	15-Dec-2000	11-Jun-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2002-011	Sandra Geddes	Dorsey Development Corporation	02-Aug-2001	27-Sep-2000	27-Dec-2001		\$	93,842	\$	10,153	\$	-	\$	103,995	\$	-	\$	-	\$	-	\$	-
CLAS2002-068	HEATHER HISEY	Estate of Lillian Irene Webb	15-Nov-2001	30-Apr-1998	28-Mar-2002	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2002-072	Robert Mansell	TDL Group Limited	16-Nov-2001	01-Apr-1997	25-Sep-2003	NCP	\$	-	\$	8,326	\$	-	\$	8,326	\$	-	\$	-	\$	-	\$	-
CLAS2002-209	Dan Dagen	Mediacom	27-Jun-2002	01-Jun-2002	29-Apr-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2002-210	John Laskin	Donald Courtney and Julius Victor	28-Jun-2002	01-Jan-2002	14-Aug-2002	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-025	Hugh O'Reilly	The Innovation Group (TIG) Limited	13-Sep-2002	12-Jun-2001	31-Mar-2005	NCP	\$	-	\$	1,142	\$	-	\$	1,142	\$	-	\$	-	\$	-	\$	-
CLAS2003-043	Darren Bacus	Richard Wah Kan (DreamCatcher Interactive)	15-Oct-2002	01-Aug-2002	12-Jun-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-066	David Thomas	Fisher Gauge Limited	14-Nov-2002	15-Nov-2000	12-Jun-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-192	Alex Porat	Youri and Anna Mousheev	05-Jun-2003	19-Mar-2003	24-Jun-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-200	Christina Medland	Paul Currie	19-Jun-2003		28-Nov-2004	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-201	Claire Burns	Susan and Stephen Hicks	19-Jun-2003	03-Jul-2002	30-Jul-2004	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2003-207	H. Lorne Murphy	Realtysellers	25-Jun-2003	15-Aug-2002	01-Oct-2003	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abb.	Law Society				CLAS				CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability		
CLAS2003-222	Donna Parish	Richard Gray	30-Jun-2003	29-Oct-2001	31-Dec-2009		\$ -	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ 1,629,900	\$ 1,323,028	\$ -	\$ -	\$ 2,952,928		
CLAS2004-046	Joel Wiesentfeld	Knowledge House Inc.	25-Nov-2003	26-Feb-2002	31-Mar-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-054	Paul Moore	Participating Co-ops of Ontario Trusteed Pension P	24-Nov-2003	01-Jun-1997	11-Mar-2011		\$ 724,522	\$ 275,478	\$ -	\$ -	\$ 1,000,000	\$ 1,275,478	\$ 362,077	\$ -	\$ -	\$ 1,637,555		
CLAS2004-132	Andrew Reich (deceased)	Humbold Properties Limited	12-Mar-2004	28-Oct-1993	18-Mar-2010	NCP	\$ -	\$ 5,307	\$ -	\$ -	\$ 5,307	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-159	Phillip Brown	Kenson Holding Company	23-Apr-2004	15-Jan-2004	31-Dec-2004		\$ 350,000	\$ 5,625	\$ -	\$ -	\$ 355,625	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-194	Beth DeMerchant	Hollinger International Inc. and affiliates	21-Jun-2004	11-Sep-2000	31-Dec-2011		\$ 976,681	\$ 23,319	\$ -	\$ -	\$ 1,057,564	\$ 32,807,619	\$ 2,052,019	\$ -	\$ -	\$ 34,361,824		
CLAS2004-195	Darren Sukonick	Hollinger Canadian Newspapers Limited Partnership	21-Jun-2004	31-Jul-2001	31-Mar-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-196	HEATHER HISEY	Donner Canadian Foundation	21-Jun-2004	30-Jan-2004	31-Dec-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-199	Jim Hong	Kolibri Capital Corporation	24-Jun-2004	10-Nov-2003	29-Jun-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-200	John Laskin	Jerry Nickerson	24-Jun-2004	01-Feb-2004	27-Nov-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2004-201	Philip Brown	Bracknell Corporation	25-Jun-2004	01-Nov-2001	31-Dec-2004	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2005-018	Sheila Block	David Lowry	01-Sep-2004	31-Oct-2003	16-Jun-2006		\$ -	\$ 16,540	\$ -	\$ -	\$ 16,540	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2005-045	John Terry	Bruce Duncan	06-Dec-2004	30-Jan-2003	31-Mar-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2005-117	Andrew Currier	Bracco Int'l (Volume Interactions PTE Ltd.)	31-Jan-2005	27-Aug-2004	31-Mar-2007	NCP	\$ -	\$ 7,201	\$ -	\$ -	\$ 7,201	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2005-133	HEATHER HISEY	Liberman Estate	21-Feb-2005	01-Jan-2004	26-Aug-2005	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-052	Patricia Jackson	James Frederick Richard Holland aka Webb	30-Nov-2005		08-Jun-2006	NCP	\$ -	\$ 15,907	\$ -	\$ -	\$ 15,907	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-108	Adam Levy	SkyPower Wind Energy Fund CP	01-Mar-2006		16-May-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-110	David Outerbridge	Tarion Warranty Corporation	17-Mar-2006	23-Sep-2005	22-Mar-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-114	Crawford Smith	First Capital (Canholdings) Corp.	21-Mar-2006	15-Dec-2005	26-Apr-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-145	Victoria Blond	Viventia Biotech Inc	16-May-2006	30-Mar-2005	25-Jul-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-157	Laura Paglia	Trevor Parry	12-Jun-2006	01-Sep-2004	06-Jul-2007	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-158	Phil Brown	Resolve Business Outsourcing Income Fund	15-Jun-2006		27-Nov-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-165	BRIAN FLOOD	Canadian Salt Company	16-Jun-2006	15-Dec-2005	31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-169	James D. Scarlett	Centre Partners	19-Jun-2006		15-Feb-2007	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-170	Patricia Jackson	Magna Closures Inc.	23-Jun-2006	05-Nov-2005	31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-171	Darren Sukonick	Credit Union Central of Ontario Ltd.	22-Jun-2006		16-Jan-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-172	Geoffrey Dyer	Andrew McCain and Family	27-Jun-2006	01-Jun-1998	29-Dec-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-175	Natasha De Cicco	James Corcoran Holdings Ltd.	26-Jun-2006	31-Dec-2004	10-Feb-2007		\$ -	\$ 761	\$ -	\$ -	\$ 761	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-179	Kamleh Nicola	Pfizer Limited	27-Jun-2006	10-Apr-2006	31-Dec-2008		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-180	Karen Malatest	Michael McClellan	28-Jun-2006	19-Nov-2006	19-Sep-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-181	Mary Eberts	Silvia Skobla	28-Jun-2006	21-Apr-1992	13-May-2009		\$ -	\$ 26,405	\$ -	\$ -	\$ 26,405	\$ -	\$ -	\$ -	\$ -	\$ -		
CLAS2006-182	Michael Pickersgill	Dimethad Research Inc.	28-Jun-2006	31-Dec-2004	13-Sep-2006	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLAS						
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability		
CLAS2007-008	Joanne Nardi (P&T)	Specialty Foods Group	20-Jul-2006		11-Sep-2006	SNC	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-046	John Tobin	Shippower Cooperation	17-Nov-2006	16-Dec-2005	31-Mar-2006	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-062	Theresa Fauconier	Wyeth	21-Dec-2006		12-Jan-2007	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-081	Adam Delean	Countryside Power Income Fund	12-Jan-2007		15-Feb-2007	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-136	Peter Jewett	Algoma Steel	20-Jun-2007	15-Feb-2007	28-Jun-2007	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-139	Lucia ten Kortenaar	AEGON Canada	18-Jun-2007		28-Aug-2008	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-144	Michael Pickeringjill	Dimethaid Research Inc. (re OSC Investigation)	27-Jun-2007	01-Jan-2007	06-Jul-2007	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2007-152	Graham Rawlinson	Constellation Software Inc.	28-Jun-2007	27-Feb-2004	27-Sep-2007		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-002	Arlen Sternberg	Abraham Finkel / Celluland Canada Inc.	10-Jul-2007	01-Jun-2007	31-Dec-2008		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-026	James C. Baillie	Confidential	20-Sep-2007	21-Nov-1988	31-Mar-2012		\$	-	\$ 59,225	\$	-	\$	-	\$ 59,225	\$	-	\$	-
CLAS2008-059	Peter Ballantyne	Citi Alternative Investments	04-Dec-2007		01-Oct-2008	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-096	HEATHER HISEY	Geoffrey McKenzie and Estate of Marjery McKenzie	22-Jan-2008	20-Jun-2006	25-Jul-2008	SIR	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-101	Andrew Currier	Gary Shannon	04-Feb-2008	29-Apr-2005	30-Jun-2009		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-107	John Hunt	GEMMA Biotechnology Ltd.	21-Feb-2008	12-Jan-2007	24-Jun-2010	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2008-139	Michael Akkawi	Edgestone Capital Partners	15-May-2008	06-Jun-2006	31-Dec-2012	NCP	\$	-	\$ 15,149	\$	-	\$	-	\$ 15,149	\$	-	\$	-
CLAS2008-168	James M. Tory	Bruce McLaughlin	26-Jun-2008	01-Jun-1988	23-Nov-2011	NCP	\$	-	\$ 8,394	\$	-	\$	-	\$ 8,394	\$	-	\$	-
CLAS2008-171	Patricia D.S. Jackson	Magna Closures	23-Jun-2006		30-Jun-2006	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-004	Robin Coster	Hydrogenics Corp.	21-Jul-2008		13-Aug-2008	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-007	Phil Mohrtdi	Brookfield Asset Management Inc.	31-Jul-2008		09-Dec-2008	SIR	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-020	MICHAEL FELDMAN	General Motors Acceptance Corporation	16-Sep-2008	01-Jun-2004	30-Jun-2009		\$	-	\$ 9,041	\$	-	\$	-	\$ 9,041	\$	-	\$	-
CLAS2009-041	Frank Daniel H. Logan	Toronto Dominion Bank and Sapient Canada Inc.	06-Nov-2008		31-Dec-2009		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-056	Patricia Jackson	Toronto Port Authority	24-Nov-2008	02-Jan-2006	29-Mar-2010		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-096	John Tobin	Confidential / Penn National Gaming	21-Jan-2009	31-Dec-2007	02-Nov-2009	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-112	David Novak (no longer with firm)	Not Given (Voting Provisions in Clas of Preferred Share)	26-Feb-2009	30-Jun-2003	27-Apr-2011		\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-179	Andrew Currier (no longer at Tons)	TSX Inc.	30-Jun-2009		21-Nov-2012	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2009-180	Ross Kennedy (no longer at Tons)	Jake Peters	30-Jun-2009		14-Apr-2010	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2010-099	Joanne Nardi	S.L.R. Waters International	14-Jan-2010	31-Oct-2009	08-Mar-2011	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2010-110	Lou/Joanne Edere/Nardi (trademark agent only)	Sputnik Entertainment Inc.	24-Feb-2010	01-Jul-2009	28-Mar-2012	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2010-175	Karen Townsend	United Paragon Associates Inc.	23-Jun-2010		16-Aug-2010	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2010-180	HEATHER HISEY	Paul Armstrong	29-Jun-2010		08-Jul-2010	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2010-181	Adam Armstrong	Brookfield Renewable Power Inc.	29-Jun-2010	01-Feb-2009	07-Jul-2010	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLAS2011-003	Christna Medland	Philip Spatzfora and Vitina Lo Bianco	22-Jul-2010		10-Jan-2011	NCP	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-

Claim No.	Insured	Claimant	Report Date	Ero. Date	Close Date	Claim Disposition	Law Society					CUAS			
							IS Indemnity Paid	IS Legal Paid	IS Indemnity Reserve	IS Legal Reserve	IS Incurred Liability	CUAS Indemnity Paid	CUAS Legal Paid	CUAS Indemnity Reserve	CUAS Legal Incurred Liability
CUAS2011-025	Dawn Scott	TD Asset Management	06-Oct-2010	21-Jul-2010	15-Oct-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-038	Don Roger	Reochem Inc.	20-Oct-2010	02-Oct-2006	05-Nov-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-040	Ed Fan	Liqui-Box Canada Inc.	29-Oct-2010	01-Jun-2010	15-Nov-2010	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-083	Lucas/Jim Crosby/Hong	Ally Credit Canada Limited	06-Jan-2011	31-Dec-2010	30-Mar-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-090	Karen Townsend	Theravance Inc.	18-Jan-2011	01-Oct-2010	29-Jun-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-127	Conor McCourt	Advanced Software Design Corporation	28-Feb-2011	06-Jul-2004	31-Mar-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-141	Joanne Nardi	Thallion Pharmaceuticals	25-Mar-2011	21-Feb-2001	11-May-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-201	Gillian Dingle	Devek Dunlop	26-May-2011	14-Apr-2011	22-Jul-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2011-206	Rose Bailey	Tennis Canada	01-Jun-2011		06-Sep-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-006	David John Outerbridge	Devek Dunlop	21-Jul-2011	29-Jun-2011	19-Oct-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-012	Donald Barclay Roger	Harriet Bunting Waid	02-Aug-2011	31-Oct-2006	04-Dec-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-015	Bill Lamoureux	First Capital Realty Inc.	14-Jul-2011	01-Jun-2011	27-Oct-2011	SIR	\$ 79,350	\$ -	\$ -	\$ -	\$ 79,350	\$ -	\$ -	\$ -	\$ -
CUAS2012-019	Conor McCourt	Northern Innovations and Formulations Corp	01-Sep-2011	30-Aug-2011	16-Oct-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-034	Robert John Cameron	CIBC	12-Oct-2011	05-Dec-2003	15-Dec-2011	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-142	Rose Bailey	Brookfield Properties	19-Apr-2012	01-May-2010	10-Jan-2013	NCP	\$ -	\$ 18,621	\$ -	\$ -	\$ 18,621	\$ -	\$ -	\$ -	\$ -
CUAS2012-143	Eileen McMahon	The Proctor and Gamble Company	19-Apr-2012	16-Dec-2010	23-Apr-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2012-159	Geoffrey Dyer	Ontario Teachers' Pension Plan	06-Jun-2012	06-Jan-2001	12-Feb-2015	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2013-033	Don Roger	Ed Mirvish Enterprises Limited	18-Oct-2012	02-Nov-2011	31-Dec-2013	NCP	\$ -	\$ 14,169	\$ -	\$ -	\$ 14,169	\$ -	\$ -	\$ -	\$ -
CUAS2013-102	John Fabello	Michael Clavarella	19-Feb-2013	14-Nov-2004	09-Jun-2015	NCP	\$ -	\$ 41,905	\$ -	\$ -	\$ 41,905	\$ -	\$ -	\$ -	\$ -
CUAS2013-157	Valerie Hebronnier	GFJ Suez Canada Inc.	27-Jun-2013		05-Jul-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2013-164		Hydrogenics Corporation	25-Jun-2013		30-Jun-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2014-021	Peter Ballantyne	RBC Capital Partners	23-Jul-2013	25-Feb-2003	19-Oct-2015	NCP	\$ -	\$ 4,991	\$ -	\$ -	\$ 4,991	\$ -	\$ -	\$ -	\$ -
CUAS2014-033	Andrew Bernstein	Pfizer	29-Nov-2013	15-Jan-2013		NCP	\$ -	\$ -	\$ -	\$ 101,000	\$ 101,000	\$ -	\$ -	\$ -	\$ -
CUAS2014-106	Janan Pasharan	Canadian Energy Services & Technology Corp. (CES)	01-Apr-2014	30-Jun-2013	08-Sep-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2014-109	Scott Cochlan	National Bank Financial Inc.	30-Jul-2013	26-Jan-2012	10-Apr-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2014-134	Patricia Koval	Partners Real Estate Investment Trust (REIT)	05-Jun-2014	13-Feb-2014		NCP	\$ 60,000	\$ 390,472	\$ 549,538	\$ -	\$ 1,000,000	\$ -	\$ 158,010	\$ 2,500,000	\$ 576,990
CUAS2015-041	Scott Cochlan	MacQuarie Capital Markets Canada	07-Oct-2014	01-Jun-2012		NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2015-044	Eileen McMahon	REG Life Sciences, LLC	12-Dec-2014	19-Sep-2014	26-Feb-2015	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2015-119	Michael Amm	Global Hunter Securities	07-May-2015	30-Aug-2012		NCP	\$ -	\$ -	\$ -	\$ 1,000	\$ 1,000	\$ -	\$ -	\$ -	\$ -
CUAS2015-121	Andrew Gray	Bank of Montreal (BMO)	28-Apr-2015	26-Jan-2015	28-Jun-2016	NCP	\$ -	\$ 1,107	\$ -	\$ -	\$ 1,107	\$ -	\$ -	\$ -	\$ -
CUAS2017-005	Michael Akkawi	Lu Barbuto	18-Jul-2016	15-Apr-2013	06-Dec-2016	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CUAS2017-057	John Terry	Sofina Foods Inc. (Livdale)	09-Nov-2016	12-Apr-2014	12-Dec-2017	NCP	\$ -	\$ 6,411	\$ -	\$ -	\$ 6,411	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society										CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability				
CLAS2017-134	David Seville	Manulife Financial Corporation	10-Apr-2017		26-Oct-2017		\$ 66,655	\$ 8,270	\$ -	\$ -	\$ 74,925	\$ -	\$ -	\$ -	\$ -	\$ -				
CLAS2017-159	Kevin Morris	Home Capital Group Inc.	14-Jun-2017	01-May-2015			\$ -	\$ -	\$ -	\$ 20,000	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -				
CLAS2018-006	Michael Akkawi	Libyan Post Telecommunications & Information Technology	18-Jul-2017	01-Oct-2015	30-Jul-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
CLAS2018-076	Kevin Tuohy	Time's Up Group LLC	15-Feb-2018	18-Dec-2017	10-Apr-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
CLAS2018-094	Torjs LLP	Brookfield Asset Management/Trisura Group Ltd.	26-Apr-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -				
CLAS2019-003	Andrew Bernstein	Enercare	12-Jul-2018	22-Jan-2018			\$ -	\$ -	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -				
Total							\$ 2,619,887	\$ 2,570,247	\$ 549,528	\$ 132,000	\$ 5,963,980	\$ 35,712,997	\$ 3,935,134	\$ 2,500,000	\$ 576,990	\$ 42,217,307				

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Torys LLP

Please provide a full description of the Firm's most current risk management policies and procedures (including risk management audits) or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Our risk management policies and procedures include the following:

1. The firm has a Risk Management Committee, a Director of Risk Management and a Risk Management Counsel to implement and oversee its risk management policies and procedures and recommend new initiatives. The objective is to adopt best practices in all of our offices.
2. Before a file can be opened, all relevant names must be searched against a computerized data base. A pdf of the results of the search is sent by email to the lawyer requesting the new file. He or she is required to confirm that they have reviewed the search and that there is no conflict or that necessary consents have been obtained. To the extent that the search results in "hits" on confidential matters, a member of the Risk Management Committee is informed and is responsible for clearing any conflict issues relating to the confidential matters. The members of our Risk Management Committee are generally available to discuss and to assist in resolving contentious or difficult conflicts issues.
3. We have a customized electronic matter intake system. The system incorporates the requirements of LSUC By-Law 7.1. Among other things, the electronic system requires: (i) confirmation that conflicts have been cleared; (ii) a description of the new matter including the roles of the various parties; (iii) confirmation that an engagement letter has been sent or will be sent imminently; and (iv) information about the area of law involved and who will be the supervising lawyer. If the proposed new matter is for a new client, the lawyer must answer several questions regarding the proposed client's financial suitability and its reputation generally, and that information will be reviewed by a member of the Risk Management Committee who will approve or deny the request to take on the proposed new client. Clients to whom we have not docketed in 12 months are treated as new clients.
4. We require that engagement letters be prepared for each new matter unless an exemption is received from the Risk Management Committee. We have developed standard form letters that identify the client, describe the nature of the matter, list the parties who have been searched for conflict purposes, identify any conflict issues, include consents as necessary, include provisions to address joint

engagements as necessary, etc. Members of the Risk Management Committee are available to assist lawyers with drafting their engagement letters.

5. Consent of the firm's managing partner, in consultation with the Risk Management Committee, is required before a lawyer is permitted to accept any outside directorship. The organization on whose board the lawyer/director proposes to sit must acknowledge that the person is serving as director in their personal capacity and not as a representative of Torys and will not be providing legal advice to the organization. While we encourage our lawyers to sit on boards or not for profit entities, they are discouraged from sitting on boards of for profit entities.
6. We have an extensive system of internally generated legal memoranda.
7. Each new lawyer is assigned a senior mentor and a junior mentor for their first three years at the firm.
8. We have an extensive in-house program of orientation and continuing education. This consists of a structured set of programs for new lawyers and periodic firm-wide presentations on topics of current interest/importance. This is under the overall supervision of the Director of Professional Resources.
9. We periodically hold similar firm-wide training sessions on matters specifically related to risk management. These sessions are mandatory. Examples include a session on information technology and risk with an emphasis on confidentiality, cyber security and the perils of mobile devices, and a session on the management of material undisclosed information and trading in securities.

Our lateral hires also receive training upon their arrival at the firm through a structured set of programs. That training includes a session with our Director of Risk Management or our Risk Management Counsel.

10. Designated partners act as workload coordinators in various departments (e.g. corporate, litigation) to ensure that new work is distributed evenly among junior lawyers and that no lawyer is overloaded with work in relation to his or her peers.
11. Associates are reviewed annually to, among other things, identify potential problem areas and ensure that the associate is developing at the appropriate pace.
12. Partners are reviewed annually. One element of the review examines their interaction with junior lawyers on files they are supervising to ensure that proper leadership and guidance is being given. The process includes upstream feedback pursuant to which the junior lawyers are asked to assess the partners' performance in these areas.
13. Partner compensation is based on an assessment of each partner's overall contribution to the firm and not on a formula basis. The assessment takes into account team building behaviour, professional competence, business and practice

building, client responsibility, thought leadership, development of other partners and associates, financial contribution, file responsibility and management, firm responsibilities, practice management, collegiality, firm reputation building, market factors, and overall effort, commitment and accomplishment. The firm does not track "origination credits".

14. The various practice groups within the firm (e.g. tax, litigation, intellectual property) hold regular meetings to give all members of the group a chance to keep up-to-date on their area of practice.
15. Our lawyers practice as members of a larger team and not as sole practitioners within the firm. We make a conscious effort to ensure that there are no "silos." The majority of our matters are large matters staffed by many lawyers.
16. The firm maintains a limitation reminder system. When a file is opened or a matter received which involves a potential limitation period, a form must be completed. This information is then entered in our electronic limitation system and periodic reminders are sent to the lawyers involved.
17. Each client has a designated client relationship partner, who in turn designates a responsible lawyer and a billing lawyer (in appropriate cases, one lawyer can fill all three positions).
18. At least two partners must review opinions of any complexity.
19. A research partner is available to consult on complex opinions.
20. Our Director of Risk Management handles all claims reporting and is available to discuss circumstances that could result in a claim. She reports all claims and reportable circumstances.
21. We have the latest on-line research facilities available in-house.
22. All trades in publicly-held securities by employees of the firm and, in certain cases, their families must be pre-approved by the firm. This is intended to reduce the possibility of trades being made in circumstances where the firm is in possession of material undisclosed information concerning the issuer whose securities are being traded.
23. The firm has a professional manual through which all lawyers familiarize themselves with the firm's policies, procedures and requirements. These include the policies referred to in the foregoing sections. Seminars are held for, and practice notes and matters of professional interest are communicated to, all lawyers on a regular basis to ensure that they are made aware of all recent developments in the law. Lawyers are required to confirm their understanding of, and familiarity with the firm's risk management policies on an annual basis.
24. In 2004, 2010, and again in 2015 the firm hired an external consultant to conduct a risk management audit of the firm. The 2015 report noted that "[t]he firm has an excellent approach to professional liability risk management and strong management support for professional liability risk management."

APPENDIX H
CYBER LIABILITY

Name of Firm: Torys LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information? ☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest
☒ Data in transit
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters? ☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☐ yes ☐ no

- h) Is the data on your servers encrypted? ☒ yes ☐ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☐ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no

APPENDIX I

2019 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Torys LLP



My LAWPRO > File Online > Confirmation

My LAWPRO®

LAWPRO Firm#: A062190

Firm Name: TORYS LLP

Your filing has been submitted to LAWPRO Customer Service.
Your confirmation number is:

P2383744

(Filed on: 2018-10-25 8:29:57 PM)

Please record this confirmation number in a secure location as it confirms your filing as follows:

**** Professional Liability Insurance Application - Firm Renewal***

Customer Service will process your application shortly, and your 2019 policy documentation and premium invoices will be made available online for you to view or download, in a secure page on our website, when complete.

Note: The following lawyers are currently listed as members of your firm. However, they may not be automatically included in your firm's 2019 renewal, as additional filings may be required from the individual lawyers, as described below:

LSO#	Last Name	First Name
561020	KENNEDY	RAEGAN MAUREEN ALICIA

EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

74122R	FAKIRANI	SALIMA
--------	----------	--------

EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

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- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

74549G	FREEMAN	KETON MOTTA
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NO POLICY FOUND

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

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74933T

BURKE

MELODY ETHEL

NO POLICY FOUND

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75294O

THOMAS

SHANE IDICULLA

NO POLICY FOUND

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

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75300F

TWEYMAN

HAILEY ILANNA

NO POLICY FOUND

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75342T

APPOTIVE

BRODY SHEV

NO POLICY FOUND

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75575P

LEVI

YEHUDA HILLEL

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Did you know: You may refer to the "E-Filing History" tab on your MY LAWPRO page for a full record of all efilings completed on the LAWPRO website by you or on your behalf within the last 5 years.

Return to My LAWPRO.

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.



My LAWPRO > File Online > Firm Summary Form

My LAWPRO®

Online 2019 Firm Summary Form

FAQs

NOTE: For the purposes of this Application, **LAWYER** means each person who holds a Class L1 licence pursuant to the by-laws of the *Law Society Act*.

Please review carefully. To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the '**Submit**' button at the end of this review to complete the online filing.

A062190 TORYS LLP

Instructions	Firm Information	Member List	Coverage Options	Payment Information	Warranty & Signature
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General LAW FIRM Information

- Name of LAW FIRM:** TORYS LLP

Address: #3000 - 79 WELLINGTON ST WEST
BOX 270, TD CENTRE
TORONTO, ON
M5K 1N2

Phone Number: 416-865-0040

Fax Number: 416-865-7380

E-mail address: sbhayat@torys.com

Firm Website(s): www.torys.com/

Managing Partner/LAWYER: 27865B -- LESLIE MARK VINER

Office Admin./Manager: KIMBERLY M. SHELDRAKE-HEAD

Claims Contact: JULIA HOLLAND

CPD Contact: DEBORAH DALFEN

Nature of Law Practice: Partnership - LLP
- Contact name and title:**
Indicate the preferred firm contact for insurance matters.

Name: Julia Holland
Title: Director, Risk Management
- Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:**
Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.

253
- Number of staff in LAW FIRM in Ontario who are not LAWYERS:**
Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or

indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse, including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

396

5. Excess liability insurance:

Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.

Your excess insurers include:

Canadian Lawyers' Liability Assurance Society

Total Excess Liability Limits:

per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage carried)

\$ 49 Million per claim

\$ 49 Million aggregate

6. Volume Billings:

Indicate the **Average Gross Billings per LAWYER** in the LAW FIRM.

Average Gross Billings (select one):

AV14 - Average Gross Billings: over \$500,000

Firm Member List

Listed below are the names and LSO numbers for all partners, associates and/or employed LAWYERS in the LAW FIRM, who are to be included in this application for Professional Liability insurance.

R - LAWYER Removed; **N** - New LAWYER; **U** - LAWYER info Updated.

LSO#	Last Name	First Name	Status
62086P	ABRAHAMSON	STEPHEN ERIC	
41809P	AKKAWI	MICHAEL FIRAS EDWARD	
40324Q	AMM	MICHAEL DAVID	
68646W	ARMITAGE	KEVIN ALISTAIR	
40750U	ARMSTRONG	ADAM STEVEN	
37728H	ASSAF	DANY HASSEN	
70519D	AU	SHARON SHEUNG YAN	
34068U	AZIZ	PETER ALLAN	
30550K	BAILEY	TRIANAFYLIA	
29376R	BAIN	MARK WILLIAM STEWART	
41641U	BALASUBRAMANIAN	AMANDA CECILIA	
20459A	BALFOUR	RICHARD JAMES	
56699U	BANACK	ADAM HENRY	
56046H	BECKER	JAMIE MATTHEW	
51839E	BELL	DONALD ROSSLYN MURRAY	
40761K	BELL	SCOTT WYNSHIP	
60933O	BENNETT	SULTANA LILY-ROSE	
45594J	BERMAN	GUY	
42191F	BERNSTEIN	ANDREW ELLIOT	
66842T	BERTRAND	MARIE JEANINE GENEVIEVE	
62114J	BHANJI	NOOREEN	
52474F	BHASIN	AMARPREET SINGH	
52187R	BHOJANI	SAIRA	
53927H	BIENENSTOCK	Yael SARAH	
41629A	BISH	DAVID BRUCE	

63565P	BISHOP	JESSICA ELIZABETH
14089N	BLOCK	SHEILA RUTH
37006F	BOMHOF	SCOTT ALEXANDER
52197L	BUDOVITCH	PAUL ROSS
22646U	CARDARELLI	CORRADO
64342B	CARTER	SARAH ALISON KATHLEEN
75404C	CASE	STEFAN MICHAEL
50702D	CESCON	JONATHAN RONALD
26848N	CHAIKOF	DAVID ARON
65826E	CHAN	KAR-LOK ALBERT
72161V	CHAPMAN	PATRICK JOSEPH ROBERT
68039H	CHUNG	CRYSTAL SUE-TAO
74958K	CLARIZIO	MICHELE JUNE
73153H	CLARKIN	NICHOLAS ALEXANDER
36663C	COCKBURN	MATTHEW WILLIAM
62143S	COODIN	LILY EMMA
60398S	COOLEY	ANDREW KENNETH
63605S	COURTOIS	SOPHIE ALEXANDRA
65463J	COUSENS	MICHELE ELYSE
71135M	CRAWFORD	JUSTIN STANLEY
57385O	CROCKETT	MORGAN JEAN
72181J	CUMBO-STEINMETZ	SHALOM
70264A	DANIELS	JOSHUA ARTHUR
66245V	DANIELS	MARISSA ALIX
72184U	DANIS	STACEY LAUREN
59774G	DE FREITAS	TASHA LEINORE
70269D	DEBONO	EMILY CARMEN
31017V	DELEAN	ADAM ETIENNE
33225C	DELL	DAVID ALAN
29451Q	DEMARINIS	ANTONIO
74526H	DENROCHE	PATRICK RICHARD
61461T	DERWA	ZIRJAN JOHAN
57077T	DI PAOLO	ADRIENNE BERNADETTE
50727R	DINGLE	GILLIAN BLYTHE
72194O	DOST	MITCHELL THOMAS
51617V	DRASSINOWER	ABRAHAM
69606L	DUCHESNEAU	VALERIE MARIE MICHELE
60415W	DUFRESNE	YOLANDE GISELE MARIE
60078O	DUKE	LAURIE NADINE
49540H	DYCK	TYSON WAYNE
73176G	EARLE	JESSICA ERIN
19860N	ELLIS	ELIZABETH ANN
41664T	EMANOILIDIS	JOHN EVANGELOS
43251H	EMES	AARON SCOTT
55814L	EVANS	HUW ROSSER
35449W	FABELLO	JOHN ANTHONY
68078K	FAN	NA
46858V	FAN	TAT KAU
65490D	FATT	ROBERT MCCLUER
75000M	FEI	ZHONG HUI
23693N	FELDMAN	MICHAEL KEVIN
63645R	FENTON	JORDAN MICHAEL
29479S	FORD	DANIEL ARTHUR GERARD
62178D	FORRESTER	DAVID COURTNEY
46615I	FORTIER	MICHAEL JOSEPH
43925I	FRAZER	MITCHELL SAMSON
73201M	GADSDEN-CHUNG	YU SEON
75019Q	GEE	WINSTON ALEXANDER
72877N	GENG	HONGYI
21551K	GEVA	BENJAMIN
37811I	GHERBAZ	SABRINA ALISON
57681A	GIBBONS	ANDREW GERARD
67279V	GINGRAS	MARIE-EVE JACQUELINE SYLVIE
57116D	GLEN	ADRIENNE ANNE
63677O	GOTFRIT	SHANNON FARRAH
60107E	GOTOWIEC	JAMES ALEXANDER

46626V	GRAY	ANDREW DUNCAN
67773S	GUEST	LARA
70605P	HARMON	RILEY JAMES
61870A	HASTINGS	NICOLE LORRAINE
72894N	HE	JESSICA SIYANG
37836W	HELBRONNER	VALERIE IRENE
66307E	HENNICK	ALLISON FAITH
38272Q	HILL	KRISTA FAYE
37843F	HISCOCKS	DARRYL ROY
32881D	HOLLAND	JULIA EVELYN
43946P	HONG	SANG-HOON (JIM)
72900E	HORA	VIDUSHI BHARAT
64080P	HOUSE	FRAZER KEEGAN MAYNARD
64433Q	HULME	LAUREN MICHELLE
64083D	HUNDAL	KANWARBIR STEVE SINGH
75065C	HUNTER	THOMAS CALHOUN
11777Q	IACOBUCCI	FRANK
75521P	IBRAHIM	ADAM GAWDAT MOHAMED
70325K	IBRAHIM	SELAMAWIT DAWED
68768P	INNES	CHRISTINE WILLSON
68448L	JACKSON	LEORA AHUVA
18466S	JACKSON	PATRICIA DIANE
68452G	JASON	MICHAEL MCPHERSON
63705I	JEBSON	BRADEN JAMES HENDRICK
35922M	JOHNSON	GLEN ROY
47401P	JOHNSON	RICHARD WILLIAM
65945J	KARA	IRFAN
29924S	KEEFE	BLAIR WILLIAM
34735D	KEIZER	LORNE CHARLES
67806P	KELSALL	GEOFFREY GRAYDON
65949Q	KENNEDY	NICHOLAS EDWARD
65573Q	KIM	MIN SUK
60152R	KNETEMAN	CHRISTIE JEANNINE
38301G	KNOWLING	SIMON CRAIG
37878N	KOZISKIE	CAMERON DARRYL
40237I	KRAAG	SCOTT ALEXANDER
35952R	KWASNIEWSKI	BERNARD JOSEPH
57470H	LAKE	KONATA TACUMA
57174V	LAVINE	JOSHUA DAVID
63740E	LAX	THOMAS RYAN
58639J	LEIBEL	ROBERT LEWIS
62253C	LEITH	DAVID NEAL
51636N	LENNON	JENNIFER LYNNE
74660U	LEONARD	JESSE DEAN
70958M	LI	IAN DICKSON
51359T	MACDONALD	MARTHA KATE
46939T	MACKAY	TARA ANN
36793U	MAHONY	DENNIS EDWARD
56115T	MANSOORI	NINA
58356Q	MANTINI	NICOLE LEE
66384O	MASLIYAH	DANIEL HESKEL S.
41114H	MCCALLUM	HELEN LESLIE
29662J	MCCUTCHEON	JILL ELIZABETH
27011M	MCPMAHON	EILEEN MARGARET
50227V	MCPMAHON	PATRICIA IRENE
23153S	MCPNAMARA	WILLIAM WALLACE JOSEPH GEORGE
73280O	MEGUID	MICHAEL JONATHAN
57210R	MIR-SAEIDI	SHIRIN
64526A	MISSAGHIE	RICHARD AFSHIN
59010N	MONAS	ELI SIMON
28577F	MORRIS	KELLY JANE
34804P	MORRIS	KEVIN MICHAEL
64168I	MOSKOWITZ	REBECCA MICHELLE
71004V	MURPHY	MICHAEL VINCENT
49869V	MYERS	JONATHAN MITCHELL



68521S	NEIL	STEPHEN RONALD
37243T	NELLES	CAROLINE MICHELLE
51381K	NESBITT	LEONARD NORMAN
40968I	NICKERSON	SUSAN LOUISE
59902N	OLUSOGA	ADEYINKA OLUWAGBEMISOLA
60813N	OPOLSKY	JEREMY ROBERT
42724V	OUTERBRIDGE	DAVID JOHN
72689T	OVERING	TAYLOR ROBERT FREDERIC
64190W	PAULS	RACHAEL ANNE
73001J	PAVLOV	TIMOFEY
68865R	PAYNE	OWEN STUART
74738T	PEGORARO	DIANA
69204N	PERLMAN	ALLISON LEANNE
56904S	PETERSON	ALEXANDRA ANNE
42098K	PICKERSGILL	MICHAEL THOMAS
38400A	PLUMPTON	LINDA MARIE
67893T	POSEN	JACOB DAVID
37973A	POWYS-LYBBE	KARRIN ANN
62338H	PRADO	MELISSA JUDITH
18299I	PRICHARD	JOHN ROBERT STOBO
74745C	PUCHTA	ALICJA
49405V	RAHMAN	SYED EBAD-UR
47427C	RAMCHANDANI	RIMA
53824G	RAMSEY	JOEL DAVID
68550E	RAMSVIK	ALEKSANDRA KRISTYNE
44648C	RAWLINSON	HENRY GRAHAM
54406P	REGULY	TERESA ANN
68554L	REN	HE
67059H	REYNAUD	PATRICK JEAN ROY
57239P	REYNOLDS	MOLLY MARGARET
42490C	RICHTER	CHRISTOPHER LUND
48393U	ROCKMAN	NADINE SUSAN
54834L	RODRIGUE	SYLVIE MARIE THERESE MARCELLE
20044U	ROGER	DONALD BARCLAY
74770E	ROSSITER	MARSHALL JAMES
55654H	ROUNDING ATKEY	MATTHEW RONALD
41766B	ROWAND	MELANIE YVONNE SHARMAN
70120E	SAKO	EMMA YOSHIE
67921N	SAULNIER	BRETT ROBERT JOSEPH
55661N	SEERS	MYRIAM MARIE
41025H	SEVILLE	DAVID ALEXANDER
64602S	SHAH	RONAK MUKESH
34880G	SHAUGHNESSY	ANDREW MARK
59959B	SHEERAZI	SHAAN ZEHRRA
68903F	SHELLEY	ALEXANDRA KIMBERLY WELLS
67932D	SHERKEY	EMILY SUZANNE
70748P	SHIFF	DAVIDA ELIANA STARKMAN
66477V	SIGURDSON	LAURA NICOLE
70137Q	SILVER	JONATHAN SCOTT
44439B	SILVERMAN	STEPHEN ANDREW
70755V	SINGH	MANPREET
54433J	SLAVENS	ADAM MARCUS
59963T	SLAVENS	STEVEN BRET
42131S	SMITH	CRAWFORD GLENDON
70146O	SSOZI	ISABELLA MBAZIIRA
34487S	STEELE	DAVID ANGUS
73062P	STEELE	MICHAEL BRETT
42344D	STERNBERG	ARLEN KID
62743E	STEVENSON	THOMAS COREY
30890C	SYMMONDS	PHILIP DAVID ALBERT
44672I	TALBOT	LISA KIMBERLEY
58769E	TANEVA-PARASHKEVOVA	PAULINA VALENTI
64637D	TARTICK	BRADLEY ROBERT
73078I	TEICHMAN	JOSHUA DAVID
32078P	TERRY	JOHN ALEXANDER



29717J	TOBIN	JOHN JOSEPH
42433D	TOLIAS	SOPHIA
23280C	TORY	JAMES CHRISTOPHER
61092O	TOWELL	LEAH CHRISTINE
53608W	TOWNSEND	KAREN JEAN
57278S	TRAUB	DANIELLE LAUREN KARO
62404I	TRIVUN	MARKO
49931P	TUOHY	KEVIN JOSEPH
59567U	UNRUCH	RYAN NOAH
59570T	VAN DEURZEN	JOSHUA FRANK
27865B	VINER	LESLIE MARK
41079H	VOGELESANG	CHRISTINE LISA
38058B	WAKIL	OMAR KAREM
62428D	WALL	KEVIN JAMES
73090F	WALL	NICHOLAS POWER
41964M	WATSON	GWENDOLYN GRACE
64669A	WEINSTOCK	JACOB COBY
33114W	WEISZ	JONATHAN BENJAMIN
70788O	WEYMAN	JONATHAN TOSHACH
61104E	WHITMORE	SARAH ELISE
51191B	WILLIAMS	SIMON JEFFREY CHARLES
27160W	WILLOUGHBY	RICHARD GRAEME
60005W	WISE	REBECCA LYNN
47309Q	WONG	HO KAY
72439A	WONG	JUSTIN BROOK DANIEL
42997Q	WORDEN	WILLIAM GRANT
32520E	WORTSMAN	JERALD MARTIN
45848Q	WRIGHT	CORNELL CHARLES VINCENT
44694L	YEO	THOMAS HARTLEY
52727Q	ZACKHEIM	MICHAEL BENJAMIN
50137C	ZEMANEK	MILOSZ ANDRZEJ
44696D	ZVERINA	THOMAS JOSEPH
13963R	JEWETT	PETER EDWARD SPENCER
64897Q	KOMARNICKI	VANESSA ADRIANA MICHELLE
65973W	LEE	TAO-YANG
68901N	SHAUNESSY	EDWIN PATRICK JOHN



Number of LAWYERS practising in the firm: 253

The following LAWYERS are currently listed as members of your firm. However, they may not be automatically included in your firm's 2019 renewal, as additional filings may be required from the individual LAWYERS, as described below:

75342T APPOTIVE BRODY SHEV



NO POLICY FOUND

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

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74933T BURKE MELODY ETHEL



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74122R FAKIRANI SALIMA

**EXEMPT: A**

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74549G FREEMAN

KETON MOTTA

**NO POLICY FOUND**

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56102O KENNEDY

RAEGAN MAUREEN ALICIA

**EXEMPT: A**

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75575P LEVI

YEHUDA HILLEL

**NO POLICY FOUND**

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

75294O THOMAS

SHANE IDICULLA

**NO POLICY FOUND**

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

75300F TWEYMAN

HAILEY ILANNA

**NO POLICY FOUND**

This LAWYER is not currently covered by a LAWPRO policy, but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

LAW FIRM's Coverage Options

7. **DEDUCTIBLE Option:**
\$25,000 DEDUCTIBLE applicable to claim expenses, indemnity payments, and/or costs of repairs together
8. **Innocent Party Coverage sublimit:**
\$250,000 per claim/aggregate
9. **Restricted Area of Practice Option: Criminal and/or Immigration Law:**
No
10. **Real Estate Practice Coverage Option:**
Yes
- Number of LAWYERS who have REAL ESTATE practice option: 13

LAW FIRM's Premium Payment Options

11. **Third-party payor authorization:**
The LAWYERS' 2019 insurance premium will be paid by a third party, other than another LAWYER or PARALEGAL PARTNER OR SHAREHOLDER in the LAW FIRM, the LAW FIRM itself, or a management or other company of the LAW FIRM:
No

If the LAWYERS' 2019 insurance premiums will be paid by a third party as described above, the undersigned LAWYER agrees to obtain the consent of the third party authorizing the transaction and providing for the use and disclosure of personal information in accordance with privacy legislation that came into effect on January 1, 2004.

This third party authorization will apply to future policy years, unless LAWPRO is advised in writing otherwise.

12. **Instalment Option:**
Lump sum payment by cheque, dated and received by **February 6, 2019** (eligible for \$50 plus PST per LAWYER discount).

16. **Mail/fax your premium payment information:**
No

17. **2019 Invoicing Information:**
Your firm's 2019 insurance premium invoice will be available in electronic form from this website after the application is processed. A notice will be emailed to the following email address contacts in your firm when your invoice and policy documentation are available to view and download. If the email addresses for any of the following contacts are incorrect or not provided, please update your firm contact information by clicking 'Address Changes' AFTER you submit this application and receive your filing confirmation number.

LAW FIRM Managing Partner/LAWYER:	(lviner@torys.com)
LAW FIRM Office Administrator/Manager:	(ksheldrake@torys.com)
LAW FIRM CPD Contact:	(ddalfen@torys.com)
LAW FIRM CLAIMS Contact:	(jholland@torys.com)
Filer (as indicated in question 19):	(sbhayat@torys.com)

CLAIM(S) & POTENTIAL CLAIM(S)

18. All members and employees of your LAW FIRM should be canvassed to determine if any member or employee of the LAW FIRM is aware of any CLAIM(S) or POTENTIAL CLAIM(S) of which LAWPRO has not been notified under the Law Society of Ontario program.

Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Ontario program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?

No

Filer Information

19. **Name, Phone and E-mail:** (this information may be shared with the LAWYER(s) on whose behalf you are filing.)

Name: Saajid Bhayat

Phone: 416-865-3691

E-mail: sbhayat@torys.com

Your Comments and/or Suggestions:

A confirmation email will be sent to sbhayat@torys.com upon submission.

Firm Summary Warranty & Signature

This Declaration shall constitute and form part of the 2019 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true and complete, or where estimates are required, that such estimates are reasonable;
- will be relied on by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the information and options selected on this form should apply for 2019.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.

☒ **Please check this box to evidence your signature for the Warranty Declaration above.**

If you wish to make a further change or correction, click on the appropriate Tab at the top of this form to return to the relevant section of the application. Then

Review the application again.

Once you have reviewed your completed form and are satisfied that no additional changes need to be made, proceed as follows:

- *Print a copy of the Application for your records, using the print function in your browser.*
- *If you selected credit card as the payment option, see next section below to complete the submission of this form. Otherwise, click on the 'Submit' button below to e-file your firm application.*
- *You will automatically be provided with a confirmation number once you have submitted your form, to verify that you have successfully completed the e-filing process. Record your confirmation number with your printed application.*

Submit

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.

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